



Secretary of State

SI-PT

22-995036

**Corporate Disclosure Statement  
(Domestic Stock and Foreign  
Corporations)**



**IMPORTANT - Read Instructions before completing this form.**

**Filing Fee** - There is no fee for filing the Corporate Disclosure Statement.

**Copy Fees** - First page \$1.00; each additional page \$0.50;  
Certification Fee - \$5.00

This Space For Office Use Only

1. **Corporate Name** (Enter the **exact** name of the corporation as it is recorded with the California Secretary of State.)

Apple Inc.

2. **7-Digit Secretary of State Entity Number**  
C0806592

3. **Jurisdiction** (Enter the state, foreign country or other place where the corporation is formed.) **California**

4. **Independent Auditor**

4a. Name of the Independent Auditor that prepared the most recent auditor's report.

Ernst & Young LLP

4b. Description of other services, if any, performed by the Independent Auditor named in Item 4a.

See Exhibit A attached hereto

4c. Name of the Independent Auditor employed by the corporation on the date of this statement, if different from Item 4a.

5. **Board Diversity**

6. **Required Statutory Disclosures**

6a. Has an order for relief been entered in a bankruptcy case with respect to the corporation during the preceding 10 years?

☐ Yes ☒ No

6b. Has the corporation or any of its subsidiaries been a party to, or any of their property been subject to, any material pending legal proceedings, as specified by Item 103, Part 229 of SEC Regulation S-K? If yes, attach a description.

☒ Yes ☐ No

See Exhibit B attached hereto

6c. Has the corporation been found legally liable in any material legal proceeding during the preceding five years? If yes, attach a description.

☒ Yes ☐ No

See Exhibit C attached hereto

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**Corporate Disclosure Statement**  
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**7. Directors**

Names of Directors	Compensation	Shares	Option	Bankruptcy	Fraud
1) Tim Cook*				<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2) James Bell	\$353,652	1,986		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3) Al Gore	\$362,136	1,986		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4) Andrea Jung	\$392,382	1,986		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5) Art Levinson	\$556,756	1,986		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
6) Monica Lozano	\$368,905	2,267		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
7) Ron Sugar	\$400,145	1,986		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
8) Sue Wagner	\$379,037	1,986		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
9) _____				<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
10) _____				<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
11) _____				<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
12) _____				<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
13) _____				<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
14) *Mr. Cook did not receive				<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
15) compensation as a member				<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
16) of the Board.				<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

**8. Executive Officers**

Names of Executive Officers	Compensation	Shares	Options	Bankruptcy	Fraud
1) Kate Adams	\$26,974,153	178,128		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2) Luca Maestri	\$26,978,503	178,128		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3) Deirdre O'Brien	\$27,020,811	178,128		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4) Jeff Williams	\$26,977,057	178,128		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5) _____				<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

  

Chief Executive Officer (if not named in 8a)	Compensation	Shares	Options	Bankruptcy	Fraud
8b) Tim Cook	\$98,734,394	667,974		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

  

Additional Executive Officers (if not named in 8a or 8b)	Bankruptcy	Fraud
1) _____	<input type="checkbox"/> Bankruptcy	<input type="checkbox"/> Fraud
2) _____	<input type="checkbox"/> Bankruptcy	<input type="checkbox"/> Fraud
3) _____	<input type="checkbox"/> Bankruptcy	<input type="checkbox"/> Fraud

**9. Loans to Members of the Board of Directors**

Names of Directors	Description of Loan including Amount and Terms
1) _____	_____
2) _____	_____

If additional space is needed, place the additional information on only one side of a standard letter-sized piece of paper (8 1/2 x 11) clearly marked as an attachment to the Corporate Disclosure Statement and attach the extra page(s) to the completed Corporate Disclosure Statement.

By submitting this Corporate Disclosure Statement to the Secretary of State, the corporation certifies the information contained herein, including any attachments, is true and correct.

Sam Whittington

Type or Print Name of Person Completing the Form

  
Signature

Asst. Secretary

Title

January 28, 2022

Date

**EXHIBIT A****Excerpt from Apple Inc.'s "Notice of 2022 Annual Meeting of Shareholders and Proxy Statement," "Proposal No. 2 – Ratification of Appointment of Independent Registered Public Accounting Firm—Fees Paid to Auditors"**

The following table shows the fees billed by Apple's independent registered public accounting firm for the years ended September 25, 2021 and September 26, 2020.

	<b>Ernst &amp; Young LLP</b>	
	<b>2021</b>	<b>2020</b>
	<b>(\$)</b>	<b>(\$)</b>
Audit Fees(1) .....	17,683,114	17,568,300
Audit-Related Fees(2) .....	1,161,409	935,900
Tax Fees(3) .....	3,662,997	3,382,300
All Other Fees(4) .....	715,310	297,400
Total .....	23,222,830	22,183,900

- (1) Audit fees relate to professional services rendered in connection with the audit of Apple's annual financial statements and internal control over financial reporting, quarterly review of financial statements, and audit services provided in connection with other statutory and regulatory filings.
- (2) Audit-related fees relate to professional services that are reasonably related to the performance of the audit or review of Apple's financial statements.
- (3) Tax fees relate to professional services rendered in connection with tax compliance and preparation relating to tax returns and tax audits, as well as for tax consulting and planning services. Tax fees in 2021 include \$1.1 million for tax compliance projects and \$2.6 million for tax advisory projects. Tax fees in 2020 include \$1.1 million for tax compliance projects and \$2.3 million for tax advisory projects.
- (4) All other fees relate to professional services not included in the categories above, including services related to other permissible advisory services and regulatory reporting requirements.

**EXHIBIT B****Excerpts from Apple Inc.'s Form 10-Q For the Quarter Ended December 25, 2021, filed on January 28, 2022 with the U.S. Securities and Exchange Commission****PART II, Item 1. Legal Proceedings***Epic Games*

Epic Games, Inc. ("Epic") filed a lawsuit in the U.S. District Court for the Northern District of California (the "Northern California District Court") against the Company alleging violations of federal and state antitrust laws and California's unfair competition law based upon the Company's operation of its App Store. The Company filed a counterclaim for breach of contract. On September 10, 2021, the Northern California District Court ruled in favor of the Company with respect to nine out of the ten counts included in Epic's claim, and in favor of the Company with respect to the Company's claims for breach of contract. The Northern California District Court found that certain provisions of the Company's App Store Review Guidelines violate California's unfair competition law and issued an injunction. Epic appealed the decision. The Company filed a cross-appeal and has been granted a stay pending the appeal.

*Other Legal Proceedings*

The Company is subject to other legal proceedings and claims that have not been fully resolved and that have arisen in the ordinary course of business. The Company settled certain matters during the first quarter of 2022 that did not individually or in the aggregate have a material impact on the Company's financial condition or operating results. The outcome of litigation is inherently uncertain. If one or more legal matters were resolved against the Company in a reporting period for amounts above management's expectations, the Company's financial condition and operating results for that reporting period could be materially adversely affected.

**Part I, Item 1. Financial Statements****Notes to Consolidated Financial Statements****Note 8 – Commitments and Contingencies****Contingencies**

The Company is subject to various legal proceedings and claims that have arisen in the ordinary course of business and that have not been fully resolved. The outcome of litigation is inherently uncertain. In the opinion of management, there was not at least a reasonable possibility the Company may have incurred a material loss, or a material loss greater than a recorded accrual, concerning loss contingencies for asserted legal and other claims.

**EXHIBIT C**

VirnetX, Inc. ("VirnetX") filed a lawsuit against the Company alleging that certain of the Company's products infringe on patents owned by VirnetX. On April 11, 2018, a jury returned a verdict against the Company in the U.S. District Court for the Eastern District of Texas (the "Eastern Texas District Court"). The Company appealed the verdict to the U.S. Court of Appeals for the Federal Circuit, which remanded the case back to the Eastern Texas District Court, where a retrial was held in October 2020. The jury returned a verdict against the Company and awarded damages of \$503 million, which the Company has appealed. The Company has challenged the validity of the patents at issue in the retrial at the U.S. Patent and Trademark Office (the "PTO"), and the PTO has declared the patents invalid, subject to further appeal by VirnetX.